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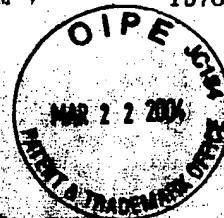
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STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a))-NONPROFIT ORGANIZATION



STATUS AND ENTITY
 DOCKET NUMBER: 2004-2001-001
 BRANCH

Applicant or Patentee: Garret T. Haupt, Jr. and Robert F. Collins

Application or Patent No.: 10/601,184

2004 MAR 31 PM 12:51

Filed or Issued: June 20, 2003

Title: Biosynthesis of HTP

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION The General Hospital Corporation

ADDRESS OF NONPROFIT ORGANIZATION 35 Park Street
 Boston, MA 02114

TYPE OF NONPROFIT ORGANIZATION:

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
- ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)
- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF
 LOCATED IN THE UNITED STATES OF AMERICA
- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
 STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

I hereby state that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern, or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.
- ☒ each such person, concern, or organization is listed below.

Brigham and Women's Hospital
 75 Francis Street
 Boston, MA 02115

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING _____

TITLE IN ORGANIZATION OF PERSON SIGNING _____

ADDRESS OF PERSON SIGNING _____

SIGNATURE Rebecca Menzies DATE 1/26/04

MASSACHUSETTS GENERAL HOSPITAL
 151ST ST. BLDG. 140 SUITE 500
 CHARLESTOWN, MA 02129

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 MAR 31 PM 12: 51

Applicants: Garner T. Haupt, Jr. and Steven R. Gullans
Serial No.: 10/601,184 Group Art Unit: 1645
Filed: June 20, 2003 Examiner: Not assigned
Confirmation No.: 6779
For: Biosynthesis of HIF



CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
3-18-04	<i>Sharon G. Carlisle</i>
Date	Signature
Sharon G. Carlisle	
Typed or printed name of person signing certificate	

TRANSMITTAL OF SMALL ENTITY STATEMENTS
AND REQUEST FOR REIMBURSEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please file the enclosed Small Entity Statement for The General Hospital Corporation and the Small Entity Statement for Brigham and Women's Hospital in the above-identified patent application.

Applicant's Attorney respectfully requests a reimbursement of \$649, one-half of the total fees paid on December 22, 2003, to be deposited in Deposit Account No. 08-0380.

This request is made within the three-month period allowed for such reimbursement.

A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By *Anne J. Collins*
Anne J. Collins

Registration No. 40,564

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated: *March 18, 2004*



STATUS AND ENTITY
DOCKET NUMBER 0042003001
BRANCH

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a)-NONPROFIT ORGANIZATION)

2004 MAR 31 PM 12:51

Applicant or Patent: Clifford T. Harpurt, Jr. and Steven R. Phillips

Application or Patent No.: 10/691,181

Filed or Issued: June 20, 2003

Title: Thyroid therapy of DRP

I hereby state that I am an officer empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION Brighton and Women's Hospital

ADDRESS OF NONPROFIT ORGANIZATION 75 Francis Street
Boston, MA 02115

TYPE OF NONPROFIT ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(c)(3))
☒ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF
 LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
 STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above
☐ the patent identified above.

I hereby state that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern, or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.
☒ each such person, concern, or organization is listed below.

The General Hospital Corporation
55 Fruit Street
Boston, MA 02114

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(a)(2))

BRIAN N. HICKS

Director

NAME OF PERSON SIGNING

Corporate Sponsored
Research and Licensing

TITLE IN ORGANIZATION OF PERSON SIGNING

ADDRESS OF PERSON SIGNING

SIGNATURE

Brian N. Hicks

DATE

2/17/04



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/601,184	06/20/2003	Garner T. Hauptert JR.	0838.2003-001

021005

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.
530 VIRGINIA ROAD
P.O. BOX 9133
CONCORD, MA 01742-9133

CONFIRMATION NO. 6779

FORMALITIES LETTER



OC000000011057287

Date Mailed: 10/20/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/30/2003 JADB01 00000091 10601184

FILED UNDER 37 CFR 1.53(b)

Adjustment date: 04/09/2004 SDIRETA1
12/30/2003 JADB01 00000091 10601184

01 FC:1001 770.00 OP
02 FC:1051 130.00 OP
03 FC:1201 344.00 OP
04 FC:1202 54.00 OP

Filing Date Granted

01 FC:1001 -770.00 OP
02 FC:1051 -130.00 OP
03 FC:1201 -344.00 OP
04 FC:1202 -54.00 OP

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Repln. Ref: 04/09/2004 SDIRETA1 0008140600
PRN:000300 Name/Number:10601184
FC: 9204 \$649.00 CR

04/09/2004 SDIRETA1 00000004 10601184

01 FC:2001 305.00 OP
02 FC:2051 65.00 OP
03 FC:2202 27.00 OP
04 FC:2201 172.00 OP